

AGREEMENT TO TRANSFER RECORDS TO THE NATIONAL ARCHIVES OF THE UNITED STATES		1. INTERIM CONTROL NUMBER LT412-2009-000689	(NARA Use Only)
TERMS OF AGREEMENT			
The records described below and on the attached ___ pages are deposited in the National Archives of the United States in accordance with 44 U.S.C. 2107. The transferring agency certifies that any restrictions on the use of these records are in conformance with the requirements of 5 U.S.C. 552. In accordance with 44 U.S.C. 2108, custody of these records becomes the responsibility of the Archivist of the United States at the time transfer of the records. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 36 CFR XII, 36 CFR Part 1256 and such other rules and regulations as may be prescribed by the Archivist of the United States (the Archivist). Unless specified and justified below, no restrictions of the use of		these records will be imposed other than the general and specific restrictions on the use of records in the National Archives of the United States that have been published in 36 CFR Part 1256 or in the Guide to the National Archives of the United States. The Archivist may destroy, donate or otherwise dispose of any containers, duplicate copies, unused forms, blank stationary, nonarchival printed or processed material or other nonrecord material in any manner authorized by law or regulation. Without further consent, the Archivist may destroy deteriorating or damaged documents after they have been copied in a form that retains all of the information in the original document. The Archivist will use the General Records Schedule and any applicable records disposition schedule (SF 115) of the transferring agency to dispose of nonarchival materials contained in the deposit.	
2A. AGENCY APPROVAL <div style="display: flex; justify-content: space-between;"> Signature _____ Date _____ </div>		3A. NARA APPROVAL <div style="display: flex; justify-content: space-between;"> Signature _____ Date _____ </div>	
2B. NAME, TITLE, MAILING ADDRESS Lynn Calvin U.S. Environmental Protection Agency - Region 5 77 W. Jackson Blvd. Chicago, IL 60604		3B. NAME, TITLE, MAILING ADDRESS Douglas A .Bicknese, Diector of Archival Operations National Archives & Records Administration - Great Lakes Region 7358 S. Pulaski Rd Chicago, IL 60629-5898	

RECORDS INFORMATION			
Enforcement Action - Landmark Cases			
4A. RECORDS SERIES TITLE <div style="text-align: center;"> 1979 </div>		4B. DATE SPAN OF SERIES <div style="text-align: center;"> 1979 </div>	
5A. AGENCY OR ESTABLISHMENT <div style="text-align: center;"> U.S. Environmental Protection Agency </div>		9. PHYSICAL FORMS <div style="display: flex; flex-wrap: wrap;"> <div style="width: 50%;"> <input type="checkbox"/> Paper Documents </div> <div style="width: 50%;"> <input type="checkbox"/> Posters </div> <div style="width: 50%;"> <input type="checkbox"/> Paper Publications </div> <div style="width: 50%;"> <input type="checkbox"/> Maps and Charts </div> <div style="width: 50%;"> <input type="checkbox"/> Microfilm/Microfiche </div> <div style="width: 50%;"> <input type="checkbox"/> Arch/Eng Drawings </div> <div style="width: 50%;"> <input type="checkbox"/> Electronic Records </div> <div style="width: 50%;"> <input type="checkbox"/> Motion/Sound/Video </div> <div style="width: 50%;"> <input type="checkbox"/> Photographs </div> <div style="width: 50%;"> <input type="checkbox"/> Other (Specify) _____ </div> </div>	
5B. AGENCY MAJOR SUBDIVISION <div style="text-align: center;"> Region 5 </div>		10. VOLUME Cu.Ft.: 6 Number: 6 Type: Standard	
5C. AGENCY MINOR SUBDIVISION <div style="text-align: center;"> Chicago, Illinois </div>		11. DATE RECORDS ELIGIBLE FOR TRANSFER TO THE ARCHIVES <div style="text-align: center;"> immediate </div>	
5D. UNIT THAT CREATED RECORDS <div style="text-align: center;"> Chicago, Illinois </div>		12. ARE RECORDS FULLY AVAILABLE FOR PUBLIC USE? <input type="checkbox"/> YES <input type="checkbox"/> NO <i>(If No, attach limits on use and justification)</i>	
5E. AGENCY PERSON WITH WHOM TO CONFER ABOUT THE RECORDS Name: Lynn Calvin Telephone Number: 1-312-886-1305		13. ARE RECORDS SUBJECT TO THE PRIVACY ACT? <input type="checkbox"/> YES <input type="checkbox"/> NO <i>(If yes, cite Agency System Number and Federal Register volume and page number of most recent notice and attach a copy of this notice.)</i>	
6. DISPOSITION AUTHORITY: <div style="text-align: center;"> EPA/207C1 </div>		14. ATTACHMENTS <div style="display: flex; flex-wrap: wrap;"> <div style="width: 50%;"> <input type="checkbox"/> Agency Manual Excerpt </div> <div style="width: 50%;"> <input type="checkbox"/> Listing of Records Transferred </div> <div style="width: 50%;"> <input type="checkbox"/> Additional Description </div> <div style="width: 50%;"> <input type="checkbox"/> NA Form 14097 or Equivalent </div> <div style="width: 50%;"> <input type="checkbox"/> Privacy Act Notice </div> <div style="width: 50%;"> <input type="checkbox"/> Microform Inspection Report </div> <div style="width: 50%;"> <input type="checkbox"/> Other (Specify) _____ </div> <div style="width: 50%;"> <input type="checkbox"/> SF(s) 135 </div> </div>	
7. IS SECURITY CLASSIFIED INFORMATION PRESENT? YES <input type="checkbox"/> NO <input type="checkbox"/> LEVEL: <input type="checkbox"/> Confidential <input type="checkbox"/> Secret <input type="checkbox"/> Top Secret SPECIAL MARKINGS: <input type="checkbox"/> RD/FRD <input type="checkbox"/> SCI <input type="checkbox"/> NATO <input type="checkbox"/> Other _____ INFORMATION STATUS: <input type="checkbox"/> Segregated <input type="checkbox"/> Declassified		15. SHIPPING INSTRUCTIONS TO AGENCIES/REMARKS REGARDING DISPOSITION <div style="text-align: center;"> RG </div>	
8. CURRENT LOCATION OF RECORDS _____ Agency (Complete 8A only.) <input checked="" type="checkbox"/> X Federal Records Center (Complete 8B only)		16. RECORDS ACCEPTED INTO THE NATIONAL ARCHIVES OF THE UNITED STATES <div style="display: flex; justify-content: space-between;"> Signature _____ Date _____ </div>	
8A. ADDRESS _____		17. NATIONAL ARCHIVES ACCESSION NUMBER <div style="text-align: center;"> CHI-01-0C-085-2-010-01-002 </div>	
8B. FRC ACCESSION NUMBER CONTAINER NUMBERS <div style="display: flex; justify-content: space-between;"> C42-80-0018-CHI 1-6 </div>		18. NARA PROVIDES <div style="text-align: center;"> CHI-01-0C-085-2-010-01-002 </div>	

15. SHIPPING INSTRUCTIONS TO AGENCIES/REMARKS REGARDING DISPOSITION <div style="text-align: center;"> RG </div>	
16. RECORDS ACCEPTED INTO THE NATIONAL ARCHIVES OF THE UNITED STATES <div style="display: flex; justify-content: space-between;"> Signature _____ Date _____ </div>	17. NATIONAL ARCHIVES ACCESSION NUMBER <div style="text-align: center;"> CHI-01-0C-085-2-010-01-002 </div>

RECORDS TRANSMITTAL AND RECEIPT

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

PAGE 1 OF 2 PAGES

1. TO (Complete the address for the appropriate records center serving your area)

**Federal Archives and Records Center
General Services Administration**
7358 South Pulaski Road
Chicago, Illinois 60629

As shown in FPMR 101-11.410-1

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)
Lillian L. Oliver
Lillian L. Oliver, Management Assistant 4/10/80

DATE

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)

Same as above Telephone (312) 353-2037

4. RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)
[Signature]
DATE
4/16/80

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

ATTN: Lillian L. Oliver
U. S. Environmental Protection Agency
Management Division
230 South Dearborn St., 14th Floor
Chicago, Illinois 60604

Fold line

6. RECORDS DATA												
ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
412	80				Enforcement Action Case Files -All Pollution Programs. Includes all cases referred to Enforcement Staff from all program offices for legal action against a pollution source or discharger. Document contents vary from program to program but generally includes records used to determine compliance(see item 2 above) and in addition: memos or transcripts documenting informal meetings with officials of pollution sources, pre-consent order correspondence, briefing memos, final consent orders, and court documents including briefs, pleadings, judgment documents, and appellate documents.		EPA Records Control Schedules. Page D-4-1.					
412	80	0012	4	1 4	For 1976 Same as above. Item 3a. No legal action required.	N	Same as above Item 3a	12/81 1/82	343485-88	S	A	N

**RECORDS TRANSMITTAL
AND RECEIPT**
(Continuation)

This form is to continue listing of Records Data when space on SF 135 is not adequate. Instructions for completion of SF 135 apply.

TRANSFERRING AGENCY'S NAME
U. S. Environmental Protection
Agency

DATE
4/10/80

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2 of 2

PAGES

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
412	80	0013	2	1 - 2	For 1977. Same as above. Item 3a. No legal action required.	N	Same as above Item 3a	12/82 1/83	349309-10	S	A	N
412	80	0014	1	1 - 1	For 1979. Same as above. Item 3a. No legal action required		Same as above Item 3a	12/84 1/85	525919	B	A	N
412	80	0015	1	1 - 1	For 1975. Same as above. Item 3b. Routine legal actions.		Same as above Item 3b	12/85 1/96	525920	B	A	N
412	80	0016	3	1 - 3	For 1976. Same as above. Item 3b. Routine legal actions.		Same as above Item 3b	12/96 1/97	327793-95	S	A	N
412	80	0017	2	1 - 2	For 1977. Same as above. Item 3b. Routine legal actions.		Same as above Item 3b	12/97 1/98	350085-86	S	A	N
* 412	80	0018	6	1 - 6	For 1979. Same as above. Item 3c. Landmark Cases.		Same as above Item 3c	Permanent 2000	343297- 302	S	A	N

Case # 343 291-304
1112-80-0018 → 1979
c 1-6 Landmark Cases
→ Permanent
LANDMARK CASES

Box 1 of 5
NPDES #

NAME

LOCATION

IL 0002674
(1 of 4)

U.S. Steel Corporation

Joliet

IL 0002674
(2 of 4)

U.S. Steel Corporation

Joliet

IL 0002674
(3 of 4)

U.S. Steel Corporation

Joliet

IL 0002674
(4 of 4)

U.S. Steel Corporation

Joliet

IL 0002691
(1 of 4)

U.S. Steel Corporation

Chicago

IL 0002691
(2 of 4)

U.S. Steel Corporation

South Works

IL 0002691
(3 of 4)

U.S. Steel Corporation

Chicago

IL 0002691

U.S. Steel Corporation

South Works

IL 0002682
(1 of 1)

U.S. Steel Corporation

Waukegan

BOX 6 of 6

(Five files Same Name)

(Same Location)

IN 0000281

U.S. Steel Corporation

Gary, IN

IN 0000281

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*Denotes New Box

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LANDMARK CASES
Certified Record

Box 2,3,4, and 5 of 5
NPDES #

NAME

LOCATION

IN 0000281

(1 of 21)

IN 0000281

(2 of 21)

IN 0000281

(3 of 21)

IN 0000231

(4 of 21)

IN 0000281

(5 of 21)

IN 0000281

(6 of 21)

IN 0000281

(7 of 21)

IN 0000281

(8 of 21)

IN 0000281

(9 of 21)

IN 0000281

(10 of 21)

IN 0000281

(11 of 21)

IN 0000281

(12 of 21)

IN 0000281

(13 of 21)

IN 0000281

(14 of 21)

IN 0000281

(15 of 21)

IN 0000281

(16 of 21)

IN 0000231

(17 of 21)

IN 0000281

(18 of 21)

IN 0000281

(19 of 21)

IN 0000281

(20 of 21)

IN 0000281

(21 of 21)

U.S. Steel Gary Works

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IN 0000281

(1 of 3)

IN 0000281

(2 of 3)

IN 0000281

(3 of 3)

U.S. Steel Gary Works

Gary

U.S. Steel Gary Works

Gary

U.S. Steel Gary Works

Gary

2 of 6
*Box 3 of 6
*Box 4 of 6
*Box 5 of 6
Court of Appeals
2 of 6